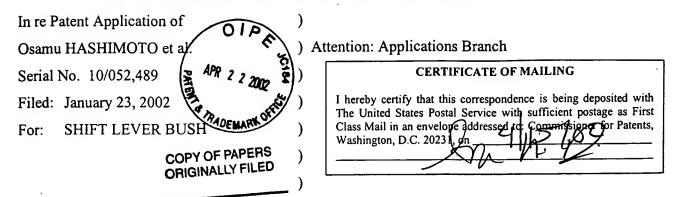


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED

Honorable Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted, under 37 CFR 1.53(b) dated February 15, 2002, submitted herewith is an executed Declaration and Power of Attorney, an English translation of the application and a copy of Notice to File Missing Parts of Application - Filing Date Granted along with the fees under 37 CFR 1.16. (Statutory Basic Filing Fee - \$740, Surcharge - \$130, and Translation Fee - \$130).

The above fees are covered by the check attached herewith. Should there be any additional fees required for proper filing of the attached documents, please charge Deposit Account No. 19-2380. Prompt issuance of a filing receipt is respectfully requested.

Respectfully submitted,

Eric J. Robinson Reg. No. 38,285

NIXON PEABODY LLP 8180 Greensboro Drive McLean, Virginia 22102 (703) 790-9110





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/052,489

01/23/2002

Osamu Hashimoto

740819-739

CONFIRMATION NO. 7304

FORMALITIES LETTER

OC000000007482502

22204 NIXON PEABODY, LLP 8180 GREENSBORO DRIVE SUITE 800 MCLEAN, VA 22102

Date Mailed: 02/15/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- The balance due by applicant is \$ 1000.
- Because your specification was filed in a language other than English, the Office was unable to determine
 the number of claims submitted. Additional claim fees may be due once the number of claims can be
 determined.

determined 04/24/2002 BABRAHA1 00000034 10052489

7/	E4/E4F	740.00 OP
1	FC:101 FC:105	130.00 OP
3	FC:103 FC:139	130.00 OP

A copy of this notice MUST be returned with the reply.

Januage A.





Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





PTO/SB/17 (11-00) Approved for use through 10/31/2002. OMB 0651-0032

Complete if Known Application Number 10/052,0489 FEE TRANSMITTAL FOR FY 2002 APR 2 2 2002 Filing Date January 23, 2002 Osamu HASHIMOTO et al. irst Named Inventor xaminer Name Unassigned Patent fees are subject to annual ision. Group Art. Unit Unassigned TOTAL AMOUNT OF PAYMENT Attorney Docket No. 0819-0739 FEE CALCULATION (continued) METHOD OF PAYMENT 3. ADDITIONAL FEES 1. X The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Entity Entity Fee Fee Fee Deposit Fee Paid Code Fee Description 19-2380 Code **(S)** (\$) Account Number 105 130 205 65 Surcharge - late filing fee or oath 130.00 25 Surcharge - late provisional filing fee or cover sheet 127 50 227 Deposit Nixon Peabody LLP Account 130.00 139 130 Non-English transaction 130 139 Name 2.520 147 2,520 For filing a request for ex parte reexamination 147 X 920* Requesting publication of SIR prior to Examiner action Charge Any Additional Fee Required 920* 112 112 Under 37 CFR 1.16 and 1.17 1,840* Requesting publication of SIR after Examiner action 1,840* 113 113 Applicant claims small entity status. 55 Extension for reply within first month 115 110 215 See 37 CFR 1.27 400 216 200 Extension for reply within second month 116 2. 🗵 Payment Enclosed: 460 Extension for reply within third month 117 920 217 Check Credit Card Other Money 720 Extension for reply within fourth month 118 1,440 218 Order 980 Extension for reply within fifth month FEE CALCULATION 128 1.960 228 119 320 219 160 Notice of Appeal 1. BASIC FILING FEE 120 320 220 160 Filing a brief in support of an appeal Large Entity Small Entity Fee Description Fee Fee Request for oral hearing 121 280 221 Fee Paid Code (\$) Code **(S)** Petition to institute a public use proceeding 138 1.510 138 1.510 740.00 370 Utility filing fee 740 201 101 55 Petition to revive - unavoidable 140 110 240 Design filing fee 106 330 206 165 Petition to revive - unintentional 141 1,280 241 Plant filing fee 207 255 107 510 242 Utility issue fee (or reissue) 1,280 142 Reissue filing fee 740 208 108 230 Design issue fee 243 143 460 Provisional filing fee 160 214 114 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner (\$) 740.00 SUBTOTAL (1) 50 Processing fee under 37 CR 1.17(q) 123 50 123 2. EXTRA CLAIM FEES 180 Submission of Information Disclosure Stmt 126 180 126 Fee from Extra Claims below Recording each patent assignment per property (times 0 X 18 40 581 0 581 Total Claims -20** number of properties) Filing a submission after final rejection (37 CFR 740 246 146 o Х Independent § 1.129(a)) Claims For each additional invention to be examined (37 CFR 370 740 249 149 Multiple Dependent § 1.29(b)) 370 Request for Continued Examination (RCE) 179 740 279 Large Entity Small Entity Fee Description Fee Fee Fee 900 169 900 Request for expedited examination of a design Code (\$) Code (\$) application Claims in excess of 20 103 18 203 Q Other fee (specify) Independent claims in excess of 3 102 84 202 42 Multiple dependent claim, if not paid (\$) 260.00 280 204 140 * Reduced by Basic Filing Fee Paid SUBTOTAL (3) 104 ** Reissue independent claims over 109 84 209 42 original patent CERTIFICATE OF MAILING ** Reissue claims in excess of 20 and 110 18 210 ce is being deposited with the United States Postal Service with I hereby cortify that this correspon over original patent er for Patents, Washington, DC 20231, on April 15, 2002 SUBTOTAL (2) (\$) **or number previously paid, if greater; For Reissues, see above Name: Ava M. Dix Complete (if applicable) SUBMITTED BY (703) 770-9300 Registration No. 38,285 Eric J. Robinson Telephone Name (Print/Type) (Attorney/Agent) Date April 15, 2002 Signature



PTO/SB/21 (08-00)

ORIGINALLY FILED Please type a plus sign (+) inside this box \rightarrow [+]

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

COPY OF PAPERS

Application Number 10/052,489 TRANSMITTAL **Filing Date** January 23, 2002 **FORM** First Named Inventor (to be used for all correspondence after initial filing) Osamu HASHIMOTO et al. Group Art Unit Unassigned Examiner Name Unassigned Attorney Docket Number Total Number of Pages in This Submission 0819-0739 ENCLOSURES (check all that apply) X Fee Transmittal Form After Allowance Communication to Group X Assignment Papers (for an Application) English Translation of Application with X Fee Attached Declaration (28 pages) Drawing(s) Amendment / Reply Declaration and Power of Attorney After Final Licensing-related Papers Petition Affidavits/declaration(s) Petition to Convert to a Provisional Extension of Time Request Application Express Abandonment Request Power of Attorney, Revocation Change of Correspondence Address Information Disclosure Statement Terminal Disclaimer Certified Copy of Priority Request for Refund Document(s) CD, Number of CD(s) Response to Missing Parts/ Remarks Incomplete Application The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the Response to Missing Parts above identified docket number. under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Eric J. Robinson, Reg. No. 38,285 Nixon Peabody LLP Individual name 8180 Greensboro Drive Suite 800 McLean, VA 22102 Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 15, 2002 Ava M. Dixon Type or printed name Date April 15, 2002

Burden Hour Statement: This form is estimated to take 6.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.